

Risk Assessments 2021 -22 (References, Managers and Committees) Vs3

PEOPLE	Risk Reference Number	Manager(s)	Responsible Committee
Closure of institution (SPP)	P/Stu/R1+ P/pat/R1	P	Gov
Withdrawal or non-renewal of validation (SPP)	P/Stu/R2	HoE	CSC & Gov
Loss of RQ (SPP)	P/Stu/R3	P	CSC & Gov
Breach of Professional and Educational Standards (SPP)	P/Stu/R4	P	CSC
Inability to deliver material components of the course (SPP)	P/Stu/R5	REG	CSC & FSC & Gov
Failure to update the programme content/ regs or policies (SPP)	P/Stu/R6	HoE	CSC
Campus closure or service reduction (SPP)	P/stu/R7 & P/Pat/R1	P	Gov & FSC
Closure of intake, specific site or campus (SPP)	P/Stu/R8	P	Gov & FSC
Safety failure - Injury physical or psychological (patients)	P/Pat/R2	CIC	Gov
Failure to safeguard data (patients)	P/Pat/R3	CIC	Gov
Compliance failure - Insurance cover / HR Processes	P/sta/R1	P	CSC
Governance & Management Failings	P Sta/R2	REG	Gov
EXTERNAL AGENTS			
Failure to obtain appropriate 'validation' from external auditing agents i.e. University, OfS, GOsC, external examiners	EA/R1	P	CSC
Failure to obtain quality outsources e.g for IT, CRB checks	EA R2	REG	CSC & Gov
RESOURCES			
Failure to maintain appropriate IT equipment	Res/EI/R1	P/ BUR	FSC & Gov
Failure to maintain & manage website	Res/EI/R2	REG	FSC & Gov
Failure to have adequate electronic continuity plans	Res/EI/R3	P	FSC & Gov
Failure to provide / maintain College owned buildings	Res/B/R1	BUR	FSC & Gov
Failure to maintain and manage leases and contracts	Res/B/R2	BUR	FSC & Gov
Failure to provide adequate clinical space	Res/B/R3	Bur	FSC & Gov
Failure to provide adequate teaching space	Res/B/R4	Bur	FSC & Gov
Failure to maintain adequate /appropriately trained academic & clinical staff	Res/Hu/R1	HoE/CDC/CIC	CSC
Failure to maintain appropriate & adequate management & governance staff	Res/Hu/R2	P	Gov
Failure to maintain adequate /appropriately trained support staff.	Res/Hu/R3	REG	FSC & Gov
Failure to maintain adequate access to specialist physical resources e.g books, plinths, models	Res/Sp/R1	HoE/CDC/CIC	CSC & Gov
Failure to maintain adequate access to specialist human resources e.g human models & patients	Res/Sp/R2	HoE/CDC/CIC	CSC & Gov
Failure to manage and maintain adequate specialist health consumables e.g daily clinic consumables to meet regulatory	Res/Sp/R3	CIC	CSC & Gov
HEALTH & SAFETY			
Failure to maintain H & S in General areas - Office & Clinic Administrative & Reception	HS/R1 (11x sub-risks)	BUR /CoM	Gov
Failure to protect those working at Ht - Office, Student Areas, clinic, library, kitchen	HS/R2 (3x sub-risks)	BUR /CoM	Gov
Failure to protect those moving through the building	HS/R3 (5x sub-risks)	BUR /CoM	Gov
General - Fire Risk & Management failure	HS/R4 (5x sub-risks)	BUR /CoM	Gov
Student & Staff Kitchen Areas H & S failure	HS/R5 (4x sub-risks)	BUR /CoM	Gov
Failure to manage the visiting public	HS/R6 (3x sub-risks)	BUR /CoM	Gov
Failure to protect Students treating patients or each other in clinic & supervised practical sessions	HS/R7 (4x sub-risks)	HoE/CDC/CoM	Gov
Failure to protect Lone Workers	HS/R8 (4x sub-risks)	BUR	Gov
Failure to provide adequate first Aid Needs	HS/R9 (2x sub-risks)	P/CoM	Gov
Failure to protect students carrying out practical work - Teaching weekends	HS/R10 (2x sub-risks)	HoE/ CDC	Gov
Failure to protect thoses moving plinths at teaching Weekends	HS/R11 (2x sub-risks)	HoE/ CDC	Gov
Failure in the management of Pins (sharps) at teaching weekends	HSR/R12	HoE/ CDC	Gov
Failure to have adequate processes & procedures to cover General Teaching in class/labs (Fire safety)	HS/R13	HoE/ CDC	Gov
REGULATION & COMPLIANCE			
Failure to remain GDPR compliant	RC/DP/R1 (5x sub- risks)	P/CoM	Gov
Failure of systems to prevent Fraud	RC/F/R2 (6x sub-risks)	BUR	FSC & Gov
Failure to have adequate IT security	RC/IT/R3 (6x sub-risks)	P	Gov
GOsC /RQ & Regulator change	RC/RQ/R4 (2 x sub-risks)	P	CSC & Gov
Failure to remain university validation validation compliant	RC/V/R 5 (3x sub-risks)	REG/ HoE	CSC & Gov
Failure to remain OFS compliant	RC/O/R6	REG/P	Gov
Failure to safeguard students, the public & vulnerable adults	RC/SG/R7 (3x sub-risks)	P/REG	Gov
Failure to maintain Prevent	RC/P/R8 (9x sub-risks)	P/HoE	Gov
STRATEGIC PLAN			
Infrastructure Failure	SP/O1	P	Gov
Support & Engagement Failure	SP/O2	REG & HoE	Gov
Teaching & Learning Failure	SP/O3	REG & HoE	Gov
Access & Progression Failure	SPO/4	REG & HoE	Gov
FINANCE			
Failure to maintain resources to support finance management	F/R1	BUR	FSC
Budgeting & projection failure	F/R2	BUR	FSC
Failure to manage student /staff/staff claims	F/R3	BUR	FSC
Failure to manage financial security	F/R4	BUR	FSC
Failure to manage student course fees	F/R5	BUR	FSC
Failure to manage patient clinic fees	F/R6	BUR	FSC
Failure to remain financially viable	F/R7	BUR	FSC
ENGAGEMENT (Bus/Health/H.E)			
Failure to engage with the local business community	E/R1	P	Gov
Failure to engage with the broader health community	E/R2	CoM	Gov
Failure to engage with the broader H.E community	E/R3	P	Gov
Infection Control (IC)			
Building Environment (Legionella)	IC/BE/L	CoM	GoV
Infectious Illnesses (miscellaneous)	IC/II/M	CoM	GoV
Infectious Illnesses (Skin)	IC/II/S	CoM	GoV
Infectious Illnesses (Gastrointestinal)	IC/II/GG	CoM	GoV
Infectious Illnesses (Respiratory)	IC/II/R	CoM	GoV

Note Key
P - Principal
REG - Registrar
BUR - Bursar
HoE - Head of Education
SS - Student Support
CDC - Curriculum Development Coordinator
CoM - Clinical Coordinator