		B	Risk Assessment			
Area	People	D	Date 01/10/2024	Assessment number	P/Stu/ R1 - R8	
Staff responsible	Principal with HoE & SQM	R	Review Date 01/10/2025			
Task			People - Student Protection			

					Risk Rating							
Risk Number	Risk Description	Effects/ Impact on	Existing controls	Impact (I)	Likelihood (L)	Rating	Further action required/action plan	By Whom	Date	Cross reference categories	Risk with Mitigation	Update
Į.	Closure of institution	Existing students, staff, college finance, leases, property and clinic	(i) Monitoring financial performance of the College through the Finance-Subcommittee that reports to the Board of Governors.  (ii) Continuous omnoitoring of Student numbers and increase in student numbers (iii) Process to support students transfer including COEI agreement (should this occur) (iv) Trigger Refund & Compensation process (should this occur)	High (4)	Possible (2)	High (8)	Monitoring by BoG in line with: H.E. sector financial concerns due to falling student numbers. The impact of this on osteopatic student numbers and therefore those of the college. validation & regulation changes (cross ref to finance, reg & compliance action plans)	SLT & BoG	Ongoing	Student Protection Plan- 2.5.1	Medium/High	
2	Withdrawal or non-renewal of validation	Existing students, staff, college finance, leases, property and clinic	New revalidation and University collaborative agreement, AMR processes, Quality monitored action plans, committee structures.  (i) Trigger teach-out agreement which protects students learning agreement entitlement (should this occur)	High (4)	Unlikely (1)	Medium (4)				Student protection plan- 2.5.2	Low	
3	Loss of RQ	Existing students, staff, college finance, leases, property and clinic	GOSC/ QAA processes (risk-based approach), to monitor the College. i.e. annual monitoring report and action plan. GOSC PAC committee follow up	High (4)	Unlikely (1)	Medium (4)				student protection plan 2.5.3	Low	
4	Breach of Professional and Educational Standards,	No viable programme, no students/ patients	Annual response to University rules and regulations (constant monitoring and update)- University contractual requirements- Universithy AMR action plans, Annual response to GOSC AMR, college QRB, ongoing updates via programme committees. Membership to relevant institutions (NCOR, COEI, IHE, IO.)	Medium (3)	Possible (2)	Medium (6)				Student Protection Plan 2.5.4	Low	
5	College unable to deliver a material component of its course	Existing students, staff, college finance	Partnered teaching & learning approach. Engagement with the oseteopathic & H.E community & our graduates (resource base). Integrated approach to teaching / blended learning	High (4)	Unlikely (1)	Medium (4)				Student Protection Plan 2.5.5	Medium	
6	Updating occurs to programme content/ regs or policies	Existing students & staff (academic & programme administrative)	Limit disruption by timely implementation. Communicate via existing committeees i.e. Programme Committee (student voice input). Policy changes communicated at the start of term via College communication channels.	High (4)	Unlikely (1)	Medium (4)				Student Protection Plan 2.5.6	Low	
7	Campus closure or service reduction	Existing students, staff, college finance, leases, property and clinic	(i) College recrutment trends & supportive marketing & business planning (ii) Alternative campus options (ii) Indusrty & partnership supports osteopathy as a growing service	Medium (3)	Possible (2)	Medium (6)				cross ref to P/Pat/R1 Student Protection Plan 2.5.7	Medium/High	
3	Non intake of students at a particular site	Existing students, staff, college finance, leases & partnership agreements, clinic offer to patients & the public	(i) College recrutment trends & supportive marketing & business planning (ii) Alternative campus options (ii) Indusrty & partnership supports osteopathy as a growing service	High (4)	Possible (2)	High (8)				Student Protection Plan 2.5.8	Medium/High	
9	Safety failure - Injury physical or psychological (inc vulnerable adults/ children) Including FtP	Patients/ Students and staff- impact on finance and college business and ability to trade. College reputation in HE and with regulators	(i)Protocols. Policies, e.g. enrolment, on-course, exiting programme &/or return to study. (ii) Monitoring of compliance (External audit including GOsC) (iii) Registered tutors, & cross College support.	High (4)	Possible (2)	High (8)				Note: Check Prevent and Vulnerable Adults/ Children	Low	

	Risk Assessment										
Area	People	Date 01/10/2024 Assessment number P/Pat/R1 - R3									
Staff responsible	CIC	Review Date 01/10/2025									
Task	People - Patient Protection										

				Risk Rating			]					
Risk Number	Risk Description	Effects/ Impact on	Existing controls	Impact (I)	Likelihood (L)	Rating	Further action required/ action plan	By Whom	Date	Cross reference categories	Risk with Mitigation	Update
	Loss of service - clinic closure (one or both sites	1 '	Compliance with external auditors (GOsC, validators, GDPR, H & S, OFS) Internal protocols adhered to, external compliance is checked. Ability to respond to varying opening demands at each location	Medium (3)	Possible (2)	Medium (6)	Consultation  Monitoring of change to clinic opening times at B/W campus		Aug -Nov 24	Student Protection Plan and P/Stu/R8	Medium	Complete Nov 24  Monitoring Opening change from Feb 2025
	Safety failure - Injury physical or 2 psychological (inc vulnerable adults/ children)	Patients/ Students and staff- impact on finance and college business and ability to trade. College reputation in HE and with regulators	Protocols. Policies, monitoring of compliance, registered tutors, insurance, externally audited.	High (4)	Possible (2)	High (8)	None			Prevent and vulnerable adults/ children	Low	
	3 Failure to safeguard data	Patients/ Students and staff- impact on finance and college business and ability to trade. College reputation in HE and with regulators	Protocols. Policies, monitoring of compliance, registered tutors, insurance, externally audited.	Medium (3)	Possible (2)	Medium (6)	None			GDPR risks	Low	

		Risk Assessment								
Area	People	Date 01/10/2024 Assessment number P/sta/ R1 -R2								
Staff responsible	Principal	Review   Date   01/10/2025								
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Task	People - Staff Protection									

					Risk Rating								
Ris	ik Number	Risk Description	Effects/ Impact on	Existing controls	Impact (I)	Likelihood (L)	Rating	Further action required/ action plan	By Whom	Date	Cross reference categories	Risk with Mitigation	Update
1		Failure to provide appropriate	Ability to recruit and retain quality staff. Impact on student recruitment and retention and quality of programme and reputation on HE front-impacts on validation and RQ status	HR and H&S consultants and moniroring. Policies and practices documented. External auditors. Contracts.Staff development and progression. Pay review. Employee handbook with policies including complaints and grievances. Appropriate insurance cover. Exit interviews QRB processes.	Medium (3	Possible (2)	Medium (6)				Regulation & Compliance	Low	
2		Failure of Governance and	staff. Impact on student recruitment and retention and quality of programme and reputation on HE front- impacts on validation and RO	Recruitment processes, vetting procedures for applicants, college committee structures and external auditing processes of governance and finance systems. Internal QA analysis. Ongoing annual external agenc y monitoring ie. GOSC, OfS.	High (4)	Possible (2)		Timely recruitment of new governors reflective of the College community/stakeholders	The BoG	end of 2024- 5		Medium	New recruitment options & site search - Aug/Oct 2024