

Risk Assessment

Low
Medium
High

Area	External Agents	Date	16.06.22	Assessment number	EA/R1 - 2
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Staff responsible	Principal	Review Date	16.06.23
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Task	External Agents
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Risk Number	Risk Description	Effects/ Impact on	Existing controls	Risk Rating			Further action required/ action plan	By Whom	Date	Cross reference categories	Risk with Mitigation	Update
				Impact (I)	Likelihood (L)	Rating						
1	Failure to obtain/ maintain appropriate 'validation' from external auditing agents i.e. University, OfS, GOsC, external examiners	Effects on HE status, professional status, ability to attract and retain students, deliver service to patients in the clinic, provide jobs for staff	Annual monitoring, guidance expectations from auditing agents, action plans.	High (4)	Possible (2)	High (8)				Rc/R1, Rc/R2, R/c R3,	Low	
2	Failure to ensure the quality of Outsourcers - e.g. QH, DBS, F1 Digital, Citation (HR and H&S)	Quality compliance with regulators and external agents- business reputation and continuity	Accreditation of service- reviewed annually. Past service history.	Medium (3)	Unlikely (1)	Low (3)	None required				Low	